

# Block Grant Requirements Manual for Providers

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Florida Department of Children and Families
Substance Abuse and Mental Health Services

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### Introduction

The Block Grant Requirements for Providers Manual (Manual) is to offer direction as to the requirements for the Substance Abuse Prevention and Treatment Grant (Grant) administered by the U.S. Substance Abuse and Mental Health Services Administration (SAMHSA). The elements in this document are requirements that the Federal government has imposed on recipients of the Grant.

The Manual covers the following issues that affect providers:

- Women and Dependent Children;
- HIV;
- Tuberculosis;
- Capacity Management;
- Interim Services;
- Peer Review Process;
- Continuing Education; and
- Service Coordination.

### I. Women and Dependent Children's Services

At a minimum, providers receiving Grant funding, must either provide or arrange services for:

- Pregnant women;
- · Women with dependent children; and
- Women who are attempting to regain custody of their children

Where appropriate, services should treat the family as a unit, admitting both women and their children.<sup>2</sup> These are discussed further in this section of the document.

In delivering services for women, providers should always ensure that:

- Receiving services have no other financial means to obtain treatment;<sup>3</sup>
- Where appropriate, the family is treated as a unit, and both women and their children are admitted to treatment;<sup>4</sup>
- An admission preference is implemented based on the following hierarchy:<sup>5</sup>
  - Pregnant injecting drug users
  - Pregnant substance abusers
  - Injecting drug users; and
- The availability of services from the facilities and the fact that pregnant women receive preference are publicized.<sup>6</sup>

#### I.A. PRIMARY MEDICAL CARE

Providers must meet the following requirements by either delivering or arranging for the following primary medical services.

<sup>&</sup>lt;sup>1</sup> 42 U.S.C. s. 300x-21. <sup>2</sup> 45 C.F.R. 96.124(e). <sup>3</sup> 45 C.F.R. s. 96.137. <sup>4</sup> 45 C.F.R. s. 96.124(e). <sup>5</sup> 45 C.F.R. s. 96.131(a). <sup>6</sup> 45 C.F.R. s. 96.131(b).

#### I.A.(1) Women

- Pregnant women or women with dependent children who request services must be admitted without limitation based on her pregnancy or parenting status;
- Care that includes basic health screening; referral for prenatal care; monitoring and medication maintenance for chronic conditions; nursing intervention for minor injuries; physician care for acute illness; and emergency treatment as needed; and
- Postpartum care that includes check-ups for mother and infant at appropriate intervals; sufficient assistance with lactation if desired and assurance of meeting nutritional needs of mother and infant. 7

#### I.A.(2) **Dependent Children of Women in Treatment**

- Pediatric care for the children that includes primary health care such as:
  - Regular check-ups;
  - Basic health;
  - Vision screening;
  - Dental screening;
  - Monitoring of chronic conditions; and,
  - Acute or emergency care as required.
- Pediatric treatment for perinatal effects of maternal substance abuse that includes medical and other therapeutic modalities; and
- Immunizations according to schedules recommended by the state health office and commonly accepted medical practice, for protection against childhood diseases.8

#### **GENDER SPECIFIC TREATMENT** I.B.

Treatment must be provided in a gender specific manner, which includes:

- Training about the risks associated with substance use, developmental needs of children, community resources, appropriate discipline and health and safety issues;
- Counseling on domestic violence and sexual abuse that includes information about the connection between substance use and violence, for both victim and perpetrator, conflict resolution techniques and information about local anti-violence resources including emergency shelter;
- Promoting the appropriate involvement of parents and others in the treatment and rehabilitation process and in the ongoing support system of the client;
- Family counseling about substance use that provides information to all age-appropriate members of the family about the addiction process, treatment, relapse, aftercare and effects on the family;
- Employment skill building including the importance of graduating from a secondary school or obtaining a G.E.D., education and vocational assessment, skills development and counseling about seeking and maintaining a job;
- Planning for and counseling to assist reentry into society, both before and after discharge, includes making referrals to any private non-profit entities in the community which provide services appropriate for the women and their children; and
- An individualized treatment and service plan must be developed for each client. It should specify which of the required services are appropriate for the client, how those will be provided and how client progress or receipt of services will be tracked.9

<sup>&</sup>lt;sup>7</sup> 45 C.F.R. s. 96.124(e)(1).

<sup>&</sup>lt;sup>8</sup> 45 C.F.R. s. 96.124(e)(2). <sup>9</sup> 45 C.F.R. s. 96.124(e)(3).

#### I.C. APPROPRIATE THERAPEUTIC INTERVENTIONS FOR CHILDREN

Providers must deliver appropriate therapeutic interventions for the children who are in the custody of women in treatment including:

- An assessment of the appropriate services for the client and her children;
- Coordination of services, that are beneficial to the family;
- Assistance in establishing eligibility for assistance under federal, state and local programs providing health, mental health, housing, employment, educational or social services for either the woman or her child; and
- Where possible, coordination with other state and federal social services designed to assure comprehensive care for the woman and her children such as Medicaid, Head Start, free and reduced school lunch programs, etc. 10

#### I.D. CASE MANAGEMENT AND TRANSPORTATION

Providers must arrange access to, or provide the following services:<sup>11</sup>

- Assessment of the extent to which authorized services are appropriate for the client and her children;
- Coordinating services;
- Assistance in establishing eligibility for assistance under federal, state and local programs providing health, mental health, housing, employment, educational or social services for either the woman or her child: and
- Coordination with other state and federal social services designed to assure comprehensive care for the woman and her children such as Medicaid, Head Start, free and reduced school lunch programs, etc.

Appendix A, page 16, contains a checklist for these requirements.

<sup>&</sup>lt;sup>10</sup> 42 C.F.R. s. 96.124(e)(4). <sup>11</sup> 42 C.F.R. s. 96.124(e)(5).

#### **Communicable Disease Services** II.

Given the correlation of communicable disease and substance use disorders, the federal government has determined that there is a priority to screen for tuberculosis and HIV. Providers are required to deliver the services described in Table 1.

**Table 1. Description of Required Services for Communicable Disease** 

Description of Required Services for Communicable Disease				
	Tuberculosis: Make tuberculosis services readily available to all clients receiving treatment, ensuring that clients who are infected will receive treatment in order to reduce the spread of infection. <sup>12</sup>			
Tuberculosis and HIV services	HIV: Make early intervention services readily available to clients receiving substance abuse treatment. The ME must ensure that voluntary participation is undertaken by the client, allowing the client to consent to specific portions of early intervention and not to others. <sup>13</sup>			
	Early intervention services participation must not be required by the provider as a condition of receiving substance abuse treatment or other services. <sup>14</sup>			
Screening	Tuberculosis: A screening process for people who are at risk of being infected or becoming infected due to both personal history and environmental conditions. Screening may be done as part of a regular nursing assessment or may be performed as a separate service.			
	HIV: Informed consent by the individual is required to participate in provision of information about the types of services available, testing information and reporting requirements.			
Identification and reporting	Protect from inappropriate disclosure of patient records. This includes provision for employee education on confidentiality requirements and disciplinary action imposed upon inappropriate disclosures. <sup>15</sup>			
Waste procedures	Practice communicable disease protocols including standard infection control and bio-hazardous waste procedures as well as compliance with all requirements for HIV related services which have been established by the State Health Officer. 16			

<sup>&</sup>lt;sup>12</sup> 45 C.F.R. s. 96.127. <sup>13</sup> 45 C.F.R. s. 96.128. <sup>14</sup> 42 U.S.C. s. 300x-24(b)(6) and s. 384.27(3), F.S. <sup>15</sup> 42 U.S.C. s. 290dd-2; 45 C.F.R. s. 96.132(e); and, s. 456.057, F.S. <sup>16</sup> 45 C.F.R. s. 96.128(a)(5).

Description of Required Services for Communicable Disease				
	<ul> <li>All individuals receiving interim services: Provide HIV and tuberculosis counseling for individuals who are waiting for admission to a substance abuse treatment program to include: <ul> <li>Information about the risk of signs and risks of infection;</li> <li>Availability of testing and treatment services;</li> <li>Methods of transmission; and</li> <li>How to avoid spreading the disease.<sup>17</sup></li> </ul> </li> <li>Section IV, page 11 contains detailed information about interim services.</li> <li>Tuberculosis: As a part of high-risk services, provide counseling that helps the clients identify others in their households or families who are at risk of infection and who should also be tested and treated, including: <ul> <li>Information about the disease;</li> <li>Modes of transmission;</li> <li>Availability and necessity of testing; and</li> </ul> </li> </ul>			
Counseling	Options for treatment.			
	<ul> <li>* Pre-test counseling: Give the client information about the testing process itself, including         <ul> <li>Confidentiality protections;</li> <li>Information about interpretation of test results and</li> <li>Planning for consequences of a possible positive result.<sup>18</sup></li> <li>Reporting protocol; and</li> <li>Notification of other individuals who may be at risk of infection through contact with the client.<sup>19</sup></li> </ul> </li> <li>* Post-test counseling: Counsel clients regardless of the results of laboratory tests. Post-test counseling should include:         <ul> <li>Education about risk behaviors;</li> <li>Appropriate measures to avoid transmission and infection.</li> <li>For clients with positive test results, post-test counseling should include specific plans for protecting partners and family members from infection and notification of others at risk.<sup>20</sup></li> </ul> </li></ul>			
Testing	Tuberculosis: Conduct, or arrange for testing. For individuals who are already infected, further testing to determine the form of treatment should begin immediately if possible. If there is a delay or the client must be referred off-site, appropriate counseling and infection control procedures should be provided.			
	HIV: Conduct, or arrange for HIV testing that includes both preliminary and follow-up tests to determine presence of HIV antibodies. <sup>21</sup>			

 $<sup>^{17}</sup>$  Refer to section IV, page 11 of this document for more information.  $^{18}$  42 U.S.C. s. 300x-24(b)(7)(B).  $^{19}$  S. 384.26, F.S.  $^{20}$  Id.  $^{21}$  Id.

Description of Required Services for Communicable Disease				
Treatment plan for clients	Develop an individual treatment goal for each client and incorporate in the client's substance abuse treatment plan. If the client's anticipated substance abuse treatment stay is shorter than the course of recommended treatment, the treatment goal must be incorporated into discharge and referral planning. Planning for medical services should also include an assessment of the client's ability and willingness to comply with treatment protocols. <sup>22</sup>			
Medical evaluation and treatment	Arrange for appropriate medication monitoring and regular checks of the client's health and infection status. All medical protocols included in the treatment plan must be monitored and documented.			
Ensure availability of services	Ensure the availability of services for eligible clients including accessibility with regard to service location, hours and costs of services.			
Referral for other services	In addition to services provided by or through the treatment facility, other appropriate local services should be identified and client referrals should be made if needed. Such referrals might include support groups, additional education, housing assistance, etc.			
Coordination with health services	Additional health service needs for clients should be identified and a coordinated plan to address should be developed. In addition, information about the client's diagnosis and treatment should be provided (with client consent) to other health practitioners treating the client.			

Appendix B, page 19, contains a checklist for these requirements.

<sup>22</sup> Id.

### **III.** Capacity Management

Upon reaching 90 percent capacity, providers receiving Grant funds to treat individuals for intravenous substance use must notify the managing entity within seven days.<sup>23</sup> Managing entities must ensure that providers can arrange interim services for a person:

- 14 days after making the request for admission to such a program; or
- 120 days after the date of such request, if no such program has the capacity to admit the individual on the date of such request and if interim services, including referral for prenatal care, are made available to the individual not later than 48 hours after such request.

Services should be offered based on the following hierarchy:

- · Pregnant injecting drug abusers;
- Pregnant substance abusers;
- Injecting drug abusers; and
- All others.

Section IV offers more information on providing interim services.

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<sup>&</sup>lt;sup>23</sup> 45 C.F.R. s. 96.126.

### IV. Interim Services

As a Grant recipient, a provider must offer interim services when the appropriate level of care is not available. Interim services are to reduce the adverse health effects of substance abuse, promote the health of the client and reduce the risk of transmission of disease.<sup>24</sup>

Providers are required to deliver the services described in Table 2.

<sup>&</sup>lt;sup>24</sup> 45 C.F.R. s. 96.121

Table 2. Provider Responsibility for Interim Services

Provider Responsibility for Interim Services			
Federal Law Provider Responsibility			
	Pregnant Women		
Pregnant Women <sup>25</sup>	<ul> <li>Assign one of the following preferences to an individual seeking treatment:         <ul> <li>Pregnant injecting drug abusers;</li> <li>Pregnant substance abusers;</li> <li>Injecting drug abusers; and</li> <li>All others. All others.</li> </ul> </li> <li>Ensure that pregnant women are matched to a treatment facility that has the capacity to provide the appropriate treatment.</li> <li>Coordinate with the managing entity in the event a woman cannot receive treatment within 48 hours for referral to an appropriate interim service, including prenatal care.</li> </ul>		
Interim Services <sup>27</sup>	Maintain a waiting list to ensure that women who have been referred for interim services are given priority in receiving ongoing services.		
IV Drug Abusers <sup>28</sup>	<ul> <li>Provide appropriate treatment within 14 days; or,</li> <li>If treatment is unavailable, arrange interim treatment within 48 hours. If the patient has not been placed in a comprehensive treatment program within 14 days, they will go on a waiting list for 120 days. If the patient has not been placed in a treatment center within that period of time, the provider is considered to be out of compliance.</li> </ul>		
Compliance monitoring and Reporting Requirements <sup>29</sup> Submit data to the managing entity for monitoring compliance and meeting reporting that identifying compliance problems and corrective actions.			

Appendix C, Page 23, contains a checklist for these requirements.

<sup>&</sup>lt;sup>25</sup> 45 C.F.R. s. 96.131(a). <sup>26</sup> *Id*. <sup>27</sup> 45 C.F.R. s. 96.131(d). <sup>28</sup> 42 C.F.R. s. 96.126(b). <sup>29</sup> 45 C.F.R. s. 96.126(f).

### V. Additional Program Requirements

In addition to the previous sections, providers must also comply with the following requirements outlined in this section. Some of these areas may overlap previously described services.

### V.A. PEER REVIEW PROCESS

Each fiscal year, managing entities will provide an independent peer review among network providers to assess the quality, appropriateness and efficacy of treatment services, ensuring that at least five percent of the providers are reviewed.<sup>30</sup>

The areas described in Table 3 are examined during the peer review process.

**Table 3. Peer Review Process** 

Peer Review Process			
Admission criteria and intake process	These policies should reflect the required prioritization for admission of clients:  1. Pregnant, injecting drug users 2. Other pregnant substance abusers 3. Injecting drug users 4. All other clients		
	<ul> <li>Intake procedures should describe:</li> <li>How clients are placed on a waiting list for services; and</li> <li>How the program will handle requests for admission of priority clients from other programs.</li> </ul> Polices should reflect the required time frames for admission to		
Assessments	Include specific review of the client's need for required and document the level of need. Screening for HIV and TB is of particular importance.		
Treatment planning	Client treatment plans should include details for acquiring additional services, including the location, duration, frequency and method of payment for those services.		
Documentation of implementation of treatment programs	The client record must include verification that all services required under the Grant have been provided to the client and that these services have occurred within required time frames.		
Discharge and continuing care plans	Care plans should include documentation of how specific services will be maintained following discharge from the treatment program. For example, if a pregnant woman is discharged prior to delivery, what plans have been made to continue access to prenatal delivery and postpartum care?		
Indicators of treatment outcomes	Client records should indicate how meeting identified target outcomes		

<sup>30 45</sup> C.F.R. 96.136

Peer Review Process		
	are measured.	

### V.B. EDUCATION REQUIREMENTS

Under Florida Statute, the Department of Health requires employees of all facilities licensed under the Department to complete a one-time educational course on:

- Modes of transmission of communicable disease;
- Infection control procedures;
- Clinical management; and
- Prevention of HIV and acquired immune deficiency syndrome with an emphasis on appropriate behavior and attitude.

The instruction must include:

- Information on current Florida law and its impact on testing;
- Confidentiality of test results;
- Treatment of patients; and
- Protocols and procedures applicable to human immunodeficiency counseling and testing, reporting, the offering of HIV testing to pregnant women, and partner notification issues.

Providers must maintain a record of employees and dates of attendance of these educational courses.<sup>31</sup>

Appendix D, Page 25, contains a checklist for these additional requirements.

<sup>&</sup>lt;sup>31</sup> S. 381.0035, F.S.

### VI. Service Coordination

Under 45 C.F.R. s.96.132I, providers are required to document their process for coordinating substance abuse treatment services with:

- · Health service agencies;
- Social service agencies;
- Correctional and criminal justice agencies;
- Educational and vocational rehabilitation services; and
- Employment Services

The memorandum of understanding (MOU) or other agreements between service entities should include, at a minimum:

- Description of the admission and eligibility requirements for services;
- Specific procedure for receiving and processing referrals for the substance abuse agency, including relevant time frames for delivery of ancillary services, location of services and hours of service availability;
- Specific requirements that the referral agency abide by confidentiality regulations and restrictions regarding disclosure of information to third parties;
- Detailed list of service to be provided, including process for obtaining client's informed consent for services, if applicable;
- Commitment that all services will be provided by qualified professionals or paraprofessionals as required by law;
- Description of how services will be paid for, if applicable, including a statement that the Grant will be the payor of last resort;
- Specific process for reporting client information back to the Department;
- Delineation of resolving disputes, including those regarding client rights;
- Process for continuation of services, if necessary, after the client leaves substance abuse treatment;
- Description of the training regarding substance abuse that is received by staff or referral agency;
- Assurance that the referral agency abides by all relevant non-discrimination policies and laws;
- Specific date on which the agreement should be reviewed and renewed, if desired by both parties; and
- Other terms, conditions and agreements as necessary.

#### Other considerations for the MOU include:

- · Location and accessibility of services;
- Cultural competence of the service provider;
- Ability of referral agency to make services available to family members, including children of the client:
- Degree of provider staff's knowledge and experience in serving substance abusing clients;
- Ability of the provider to provide more than one ancillary service:
- Evidence of the service provider's ability to network with other agencies; and,
- Overall quality of the services which can be provided through the agency.

It is significant to remember that general program mandates may supplement or duplicate requirements for serving specific types of clients.

# Appendix A

Table 4 summarizes the description of the corresponding services discussed in Section I, Page 4 of this document for delivering services to women and dependent children required by the federal government for States receiving Grant funds.

Table 4. Provider Checklist for Women and Dependent Children's Services

Provider Checklist for Women and Dependent Children's Services			
		Within treatment facility	By referral
	Pregnant/Postpartum Women's and Dependent C	children's Services	
Admission of wor treatment service	nen and their children if requested to residential s		
Primary medical of	care for women		
Prenatal care			
	Primary pediatric care		
	Pediatric treatment for perinatal effects of maternal substance abuse		
Pediatric health care	Screening regarding the physical and mental development of infants and children		
	Children's counseling and other mental health services		
	Comprehensive social services		
Supervision of	During periods in which the woman is engaged in therapy		
children	During periods in which the woman is engaged in other necessary health or rehabilitative activities		
Training in parent	ting		
	HIV and AIDS		
Counseling	Domestic violence and sexual abuse		
	Obtaining employment include importance of secondary degree		
Efforts to preserv	e Promoting the appropriate involvement of parents and others		
family units	Counseling the children of the woman		
	1	1	

Provider Checklist for Women and Dependent Children's Services				
		Within treatment facility	By referral	
	Before discharge			
Planning for and counseling to	After discharge			
assist reentry into society	Referrals to any public or nonprofit private entities in the community which provide services appropriate for women and the children of the women			
	Assessing extent to which authorized services are appropriate for the woman and child			
Case management	Ensuring that appropriate services are provided in coordinated manner			
Services	Assistance in establishing eligibility for assistance under federal, state and local programs providing health, mental health, housing, employment, educational or social services			
Postpartum care				
Child care				
Children's immuniza	tion			
Individualized treatm women	ent and service plan for pregnant and postpartum			
Family counseling regarding substance abuse				
Sexual and physical	abuse			
Parenting skills				
Other				
Developmental				
Abuse				
Other				
Case management for women and their dependent children				
Transportation for women to services				
Transportation for children to children's services				

Table 5 lists questions to be considered when making referrals to outside agencies for women and dependent children.

Table 5. Questions in Selection of Referral Agency for Women's and Children's Services

#### Questions in Selection of Referral Agency for Women's and Children's Services

Can the agency be easily reached by public or private transportation?

Are services provided in age, gender and culturally appropriate ways?

Can multiple services be provided by the agency in order to minimize transportation time and reduce confusion for the client?

Are services provided free of charge or on a sliding scale?

Are the hours of service convenient for women and children?

Will the referral agency treat and provide services to the family as a unit?

Does the referral agency have clear policies and procedures regarding confidentiality and referrals of your clients to another (third) agency?

Does the referral agency provide training to its staff on substance abuse issues? Do staff members demonstrate an understanding of how substance abuse may affect the services they are providing for your client?

Are child care services available while clients are receiving other services?

Are the service location and facility designed appropriately for children if they will be present?

Are appropriate policies and practices in place to document services that are provided?

# Appendix B

Table 6. Provider Checklist for Communicable Diseasesummarizes the description of the corresponding services discussed in Section II, Page 7, of this document for delivering tuberculosis and HIV services by providers receiving Grant funds.

**Table 6. Provider Checklist for Communicable Disease** 

Provider Checklist for Communicable Disease			
	Within treatment facility	By referral	
Tuberculosis Services			
Tuberculosis services must be readily available to each person receiving substance abuse treatment			
Screening			
Identification and reporting			
Tuberculosis counseling for those receiving interim services			
Counseling for those admitted for substance abuse treatment			
Tuberculosis testing			
Tuberculosis treatment plan for those with tuberculosis			
Medical evaluation and treatment			
To ensure tuberculosis services are provided			
Referred to other tuberculosis services			
Coordination with health services			
HIV Services			
Informed consent to participate			
Voluntary participation by clients			
Ensure that early intervention services are not a condition of treatment or other services			
Implementation of communicable disease of information regarding services			
Dissemination of information regarding services			
Linkages with comprehensive community resource network			

Provider Checklist for Communicable Disease		
	Within treatment facility	By referral
Pre-test counseling		
HIV testing		
Post-test counseling		
Extent of immune system deficiency testing		
Tests and plans for appropriate medical intervention		
Delivery of medical services		

Table 7 lists questions to be considered when making referrals to outside agencies for treatment for communicable disease.

Table 7. Questions in Selection of Referral Agency for Communicable Disease Services

Questions in Selection of Referral Agency for Communicable Disease Services					
	Within treatment facility	By referral			
Tuberculosis Services					
Tuberculosis services readily to each person receiving substance abuse treatment					
Screening					
Identification and reporting					
Tuberculosis counseling for those receiving interim services					
Counseling for those admitted for substance abuse treatment					
Tuberculosis testing					
Tuberculosis treatment plan for those with tuberculosis					
Medical evaluation and treatment					
To ensure tuberculosis services are provided					
Referred to other tuberculosis services					
Coordination with health services					
HIV Services					
Informed consent to participate					
Voluntary participation by clients					
Ensure that early intervention services are not a condition of treatment or other services					
Implementation of communicable disease of information regarding services					
Dissemination of information regarding services					
Linkages with comprehensive community resource network					
Pre-test counseling					
HIV testing					

Questions in Selection of Referral Agency for Communicable Disease Services			
	Within treatment facility	By referral	
Post-test counseling			
Extent of immune system deficiency testing			
Tests and plans for appropriate medical intervention			
Delivery of medical services			
oes the referral agency have the capacity to track the client and coordinate services after the client is discharged from substance abuse treatment?			
For HIV patients, are family members and significant others included in pre- and post-test counseling?			
If the referral is for an HIV patient, is the agency part of a community resource network for HIV services?			

# **Appendix C**

Table 8 summarizes the description of the corresponding services discussed in Section IV, Page 11, of this document for delivering interim services required for providers receiving Grant funds.

**Table 8. Provider Checklist for Interim Services** 

		Within treatment facility	By referral
Tuberculosis Screening			
Counseling and education, specifically:	HIV		
	Tuberculosis		
	Risks with needle sharing		
	Risks of transmission to sexual partners and infants		
	Preventative measures against HIV transmission		
HIV screening			
HIV testing			
Referral for HIV treatment			
Referral for tuberculosis treatment			
Counseling for pregnant women on effects of alcohol and drug use on fetus			
Referral for prenatal care			

Table 9 lists questions to be considered when making referrals to outside agencies for interim services.

#### Table 9. Guide to Choosing Referral Agencies for Interim Services

#### **Guide to Choosing Referral Agencies for Interim Services**

Can the agency provide more than one service in order to minimize client confusion and eliminate barriers to acceptance of service?

Does the agency provide training for its staff on substance abuse, particularly for staff who will interact directly with your clients?

Is the agency's philosophy about substance abuse consistent with the philosophy of your treatment program?

Will the agency abide by applicable confidentiality regulations?

Will the agency sign and honor an MOU?

Are the agency's facilities conveniently located to both the client's current residence and the treatment location? If not, how will transportation be arranged?

Does the agency have a waiting list that will prevent meeting timelines under block Grant regulations?

Are services provided free of charge or on a sliding scale? If your agency will be paying for services, are rates reasonable and within commonly accepted guidelines?

Is the agency required under statute to provide these services? If so, how will you make certain your client receives all services to which they are entitled?

Is the agency licensed, certified or otherwise credentialed to provide the services you are requesting?

# Appendix D

Table 10 is a provider checklist to be used as a quick reference in meeting the additional program requirements discussed in Section V, page 13.

Table 10. Provider Checklist for Additional Program Requirements

Provider Checklist for Additional Program Requirements				
Requirement Description				
Outreach Programs				
Selection, training and supervision of outreach workers				
Contact and communication with:				
High risk substance abusers				
<ul><li>Their associates</li><li>Neighborhood residents</li></ul>				
Women in the community who are substance abusers				
Follow-up with high risk substance abusers				
Promoting awareness among IV drug users about relationship between IV drug use and HIV and other diseases				
Recommendations for steps to ensure that HIV transmission does not occur				
Encouraging entry into treatment				
Programs Serving IV Drug Users				
Notification to the Department when 90% of the capacity is reached				
Admission within 14 days of treatment request or interim services within 48 hours ad admission within 120 days				
Peer Review				
Admission criteria and intake process reflect priorities for clients and required timeframes				
Assessments				
Treatment planning				
Documentation of implementation of treatment services				
Discharge and continuing care planning				
Indications of treatment outcomes readily documented in client files and programs reports				
Service Coordination				
Continuing education in treatment services provided for treatment staff				
Coordination with:				

Provider Checklist for Additional Program Requirements			
Requirement	Description		
Health service agencies			
Social service agencies			
Correctional and criminal just agencies			
Educational and vocational rehabilitation services			
Employment Services			